

Grading Criteria – case audits of Safeguarding, Corporate Parenting and Disability Enablement Service Waltham Forest

1: STRATEGY DIS	CUSSION/ SECTION 47 INVESTIGA	ATION		
Criteria	O: Outstanding	G: Good	R: Requires	I: Inadequate
			Improvement	
General	Risks and protective factors	Children and young people receive help that is	The case work	There is no evidence
	regarding a child's/ young	proportionate to risk; children and families are not	evidenced on file in	that a strategy
	person's safety and well-being	routinely subjected to formal CP investigations if these	respect of a strategy	meeting/ discussion
	are routinely and consistently	are not necessary.	discussion/ meeting	and S47
Strategy	given precedence in the	A strategy meeting is considered and takes place rather	and S47 investigation	investigation is
meetings/	decision-making process and	than a telephone strategy discussion; if a strategy	lacks consistency with	arranged and
discussions	workers go to great lengths to	discussion (rather than a meeting) takes place there is a	regards to the various	initiated as required.
	ensure that every child/ young	clear rationale for doing so	necessary aspects.	There remain risks
Strategy mtg/	person is safe and protective	Strategy meetings are attended and contributed by key	The engagement with	that have not been
discussion -	from significant harm or risk of	participants and are effective forums for timely	the child does not fully	identified, assessed
Multi-agency	significant harm.	information sharing, planning and risk-based decision	inform the	or reduced and
contribution		making	investigation and	therefore the level
Strategy mtg/		Decision-making is undertaken by suitably qualified and	assessment and the	of harm/ risk of
discussion -		experienced manager, with decisions, all actions and	impact of such on the	harm remains for
Decision making		engagement with the family and other professionals	child is not clear.	the child remains.
and recording		clearly recorded	Some needs may not	
		The strategy meeting informs the need for the S47	have been identified or	
		enquiry clearly	met.	

S47 –	Information - sharing between agencies and
information	professionals is timely, specific and effective and takes
sharing and	full account of the requirements set out in legislation
multi-agency	and guidance about the need to obtain parental consent
contribution	for enquiries to be made except where in seeking that
	consent a child is likely to suffer significant harm or
	further harm
S47 – timeliness	The S47 enquiry is always led by a suitably qualified and
and quality	experienced registered Social Worker; it is thorough and
	timely; The findings in relation to significant harm are
	clear and result in urgent action to protect children and
	young people
S47 –	The Social Worker successfully engages with the child as
engagement	part of the S47 investigation and enables the child/
with the child	young person to share their account and view
S47 – impact on	The child/ young person understands the process and
the child	reason for the S47 investigation, feels listened to and
	supported by and throughout the process

2: CASE RECORDING

Criteria	O: Outstanding	G: Good	R: Requires	I: Inadequate
			Improvement	
Case recording -	There is a clear focus of the	Comprehensive and up to date records are held and	Case records do not	There are significant
suitability	work which is routinely and	shared between agencies to help and protect children	consistently reflect the	gaps in the case
	consistently evidenced on file.	and young people.	work undertaken and	recording that do
	Case recording is exceptionally	Case records reflect the work that is undertaken and	do not allow to get a	not to consider the
	thorough and balanced,	clearly relate to the plans for the future.	clear and holistic	family's history and
	showing exceeding	Case recording is well argued, focused, jargon free and	picture of the child's	support network.
	professionalism.	appropriate for the relevant audience; it will support the	and family's journey,	Case records on file
		child, young person and family in any future access to	including their family	do not evidence the
		records to help their understanding of their journey, the	history and family/	work undertaken
		intervention and support put in place	support network.	with the child and
Consideration		The style and clarity of records enhances the		family.
of case history		understanding that children and young people have		
		about their histories and experiences. This also includes		
		the effective use of chronologies that reflect significant		
		events in the child's/ family's life. It supports the analysis		
		of the family's history and identification of potential		
		patterns.		
Family and		The child's/ family's relationships and support networks		
support		as well as their impact on the family's functioning and		
network		dynamics have been explored; this includes the effective		
		use of genograms and ecomaps		

3: SUPERVISION,	/MANAGEMENT OVERSIGHT			
Criteria	O: Outstanding	G: Good	R: Requires	I: Inadequate
			Improvement	
Supervision	Management oversight is	Decision-making is undertaken by suitably qualified and	The work evidenced on	There are significant
	robust and decisions are	experienced social workers and managers, with	file does not	gaps in the evidence
	regularly, routinely and	decisions, all actions (including timescales) and	consistently reflect the	of supervision
	consistently scrutinised by	engagement with the family and other professionals	decisions taken,	including case
	managers in supervision	(where relevant) clearly recorded	including the rationale	discussion, review of
Frequency	sessions that also facilitate	Supervision takes place at the minimum required	for them, in a timely	actions and their
	reflective discussions.	timescales, and more often if the complexity of the case	way; there is	progress as well as
		work requires	inconsistency in linking	planning and
Triangulation		Supervision offers a forum to link the recommendations	supervision with plans	agreeing on a way
		of the plan in place, progress on previously agreed	in place and their	forward.
		actions and recent developments within the child's and	progress.	The level of
		family's life.		management
Reflection and		Supervision offers the opportunity to discuss, debate,		oversight is not
critical		reflect and test hypotheses in relation to what is		sufficient in
discussion		happening with the child, young person and family		response to
Audit findings		If an audit was completed within the past 6 months, the		significant events/
		manager and practitioner engage in a discussion on the		concerns.
		findings and agree on the required actions to progress		
		the case work.		
Manager's/		Managers and Senior Managers regularly review the		
Senior		plans and help in place that have been agreed with the		
Manager's		aim to reduce the risk of harm or actual harm		

"footprint"		
Escalation	Authoritative action is taken where change is not	
	secured and the risk to children intensifies or remains	
Response to	Allegations of abuse, mistreatment or poor practice by	
allegations and	professionals and carers are taken seriously; steps are	
poor practice by	taken to protect children and young people and the	
professionals	management of allegations is robust and effective.	
Acknowledgem	Complaints are treated seriously and result in a clear	
ent of and	response, urgent action and improve services where that	
responses to	is required.	
complaints		

4. CHILD'S LIVED	EXPERIENCE				
Criteria	O: Outstanding	G: Good	R: Requires	I: Inadequate	
			Improvement		
The child's	The child/ young person	Children and young people are given the opportunity to	The evidence of the	The child's views,	
voice, wishes	understands their rights and	see their allocated social worker alone and are	case work does not	wishes, feelings and	
and feelings	responsibilities and uses the	supported effectively in sharing their views and making	consistently inform and	experiences are not	
	advocacy service to complain if	their voices heard.	reflect the engagement	reflected and/ or not	
The child's	they have concerns.	Children and young people are helped to understand	with children and	understood and	
awareness of	The child's needs are	their rights and the responsibilities that accompany	young people, including	acknowledged. The	
rights &	thoughtfully reviewed to	those rights and legal entitlements.	a clear understanding	child's journey and	
responsibilities	ensure that they receive the		of their views, wishes,	the impact of their	
	most appropriate care and	Children, young people and families understand how to	feelings and	experiences is not	

	that transitions into adult	complain and have timely access to and use the services	experiences.	assessed and/ or
	services (where relevant) is	of an advocate where appropriate.	The child's/ young	recognised, leaving
Ethnicity and	very well managed.	Help, protection, intervention and care for children and	person's particular	the child's needs
diversity	The child/ young person has	young people is sensitive and responsive to age,	needs appear to be not	largely unmet (or
	very good access to a range of	disability, ethnicity, faith or belief, gender, gender	fully, comprehensively	unknown) and
	health and well-being services,	identity, language, race and sexual orientation	and consistently	causing a level of
The child's	as their needs require.	Professionals and carers who know the children and	identified and	stress, instability and
relationship	The child's journey is routinely	young people well develop positive relationships with	recognised.	risk for the child.
with	and consistently evidenced on	them and are committing to protecting them and		
professionals	file.	promoting their welfare; professionals understand how		
		to best communicate with children and young people.		
The child's		Children and young people are protected or helped to		
safety		keep themselves safe from bullying, homophobic		
		behaviour and other forms of discrimination.		
The child's		Children and young people are in good health or are		
health		being helped to improve their health and their health		
		needs are identified. Child and adolescent mental health		
		provision, therapeutic help and services for learning for		
		physically disabled children and young people are		
		available when needed for as long as when they are		
		required.		
		The impact of cultural and social factors, parenting		
		styles, loss/ change, uncertainty on the child's and young		
		person's development are understood as well as their		
		impact on the building of resilience.		
The child's		Children and young people attend school or other		
education		educational provision and they learn; Children and young		

	people in care who do not attend school have access to
	25 hours per week of good quality registered alternative
	provision.
The child's	Social workers, residential staff and carers support
interests	children and young people to enjoy what they do and to
	access a range of social, educational and recreational
	opportunities.
Stability of the	Children and young people live in safe, stable and
environment	appropriate homes and families with their brothers and
	sisters when this is in their best interest.
Placement	Family –finding strategies are informed by the assessed
finding	needs of children and young people.
	Children and young people are effectively prepared for
	and carefully matched with, a permanent placement.
	Their wishes and feelings are understood and influence
	the decision about where they live. This is balanced
	against what is in their best interest.
Life Story work	Children and young people are helped to develop secure
	primary attachments with the adults caring for them.
	Social workers help them to understand their lives and
	their identities through life story work that is effective
	and provided when they need it.

5. ASSESSMENT				
Criteria	O: Outstanding	G: Good	R: Requires Improvement	I: Inadequate
Holistic assessments Parental Capacity	The assessment of the child's needs is exceeding and holistic. Risk is carefully assessed to ensure that child/ young person stays safe and feels safe. Assessments are regularly, routinely and consistently reviewed and updated to reflect changes in circumstances and actions taken to address individual needs.	Assessments address all domains of the local framework for assessment and identify the needs, risks and protective factors for the child, including for example private fostering, connected person, Special Guardianship; they consider the historical context and significant events for each case and result in a direct offer and plan of help to address these identified needs and risks. Accurate and timely assessments of children's educational needs as well as specialist support where it is needed help children to make good progress in their learning, and development wherever they live. Parental capacity and capability to change is considered and explored as part of the assessment.	The work evidenced on the file does not consistently, fully and holistically assess the child's needs, risks and protective factors. There is inconsistency in the assessment of parental capacity and risk to the child which has also some impact on the effectiveness of a risk management plan. The assessment	The assessment does not recognise and consider significant areas of the child's needs and protective factors. Risk is not identified or not assessed; therefore the risk management plan (if in place) is largely ineffective. The assessment is
Risk Assessment	needs.	and explored as part of the assessment. Risks are well understood and minimised. Children and young people who live in households where at least one parent or carer misuses substances or suffers from mental ill health or where there is domestic violence are helped and protected. Children and young people who are missing from home, care or fulltime school education, those at risk of sexual	does not explicitly make reference to relevant research and legislation and lacks professional curiosity and analysis.	not timely and/ or out of date and does not reflect the child's and family's current circumstances.

	exploitation and trafficking, those offending or misusing
	drugs alcohol are known by the local authority and by
	adults who care for them; they receive well-coordinated
	responses that reduce the harm or risk of harm to them.
Information	Information sharing between agencies and professionals
sharing	is timely, specific and effective and takes full account of
between	the requirements set out in legislation and guidance
agencies	about the need to obtain parental consent for enquiries
	to be made except where in seeking that consent a child
	is likely to suffer significant harm or further harm.
Professional	Professional curiosity by the practitioner is evident whilst
curiosity	a position of partnership working with families and
	professionals is maintained.
Evidence base	Legislation, policy and evidence based practice is applied
	appropriately to inform the assessment and
	recommendations.
Direct work	Assessments result in direct work with children and
	families and develop in response to that direct work.
Ongoing	Assessment and plans are dynamic and change in the
assessments	light of emerging issues and risks.
Authorization	Senior managers have responsibility for authorising the
by managers	recommended next steps.
Sharing with	Assessment reports are shared with children, young
children and	people and their families where appropriate and their
families	feedback on the assessment is actively sought and
	recorded.

Criteria	O: Outstanding	G: Good	R: Requires Improvement	I: Inadequate
Thresholds	Plans are consistently up to	Thresholds for interventions accord with the	Agreed plans (and the	Plans, if in place, are
	date, comprehensive and very	requirements of legislation; they are appropriate,	reviews of them) are	not effective and
	well targeted to meet the	understood by partners, consistently applied, well	not consistently SMART	SMART which causes
	child's/ young person's	embedded, reviewed and updated regularly. Drift and	which has some impact	significant drift and
	individual needs.	delay are avoided.	on their overall	delay for the child.
Public Law	Reviews are timely and plans	There is evidence of the effective use of the public law	effectiveness. There is	
Outline and	demonstrate very good	outline, including letters before proceedings, family	a lack of consistency in	
Care	engagement of children, young	group conferences and parallel planning. Care is used	the involvement of and	
proceedings	people and their families,	only if this is in the child's best interest. Children and	collaboration with the	
	ensuring that their wishes,	young people are safely and successfully returned home;	multi-agency network.	
	feelings and aspirations are	where this is not possible, permanent plans are made for	Children and families	
	clearly expressed.	them to live away from the family home (i.e. Special	are not consistently	
	The file clearly evidences an	Guardianship orders, Child Arrangement orders and	visited in line with	
	exceeding positive impact of	placement orders). Families are aware of and	required timescales as	
	the professional intervention	encouraged to access legal advice and advocacy.	well as with their	
	provided to the child and	Applications and assessments for care or other orders	needs.	
	family.	are accepted by the courts, minimise the appointment of	Feedback from children	
		experts and avoid unnecessary delay.	and families is not	
		Viability assessments of members of the family are	consistently	
		carried out promptly to a good standard and sequential	acknowledged and	
		assessments are avoided.	used to inform future	
Plans		Plans are dynamic and change in the light of emerging	work.	
		issues and risks as identified through ongoing		

	assessments; contingency planning is evident.
	Children who have a child in need or child protection
	plan have a plan in place that clearly identifies the work
	that will be offered to help the family and the necessary
	changes to be achieved within appropriate timescales.
	Children, young people and the families are visited in line
	with their plan; the plan and progress of the plan is
	discussed openly and their views are sought to inform
	future planning.
	Social Workers engage with the family who understand
	the help they will receive, what has to change and the
	options for the future.
	Plans and decisions are reviewed and alternative
	authoritative action is taken where the circumstances for
	children do not change and the risk of harm or actual
	harm remains or intensifies.
Multi-agency	Children and young people are protected through
working	effective and coordinated multi-agency arrangements;
	Incidents are monitored.
	Case conference, Child in Need Meetings, strategy
	meetings, core groups and multi-agency risk assessment
	conferences (MARAC) are attended by key participants
	and are effective forums for timely information sharing,
	planning and risk based decision making.
	Together, agencies take steps to ensure that all children,
	including those who are excluded from school, are safe
	and that for those who are missing or often missing,

	there is a clear plan of urgent action in place to protect	
	them and reduce the risk of harm or further harm	
Feedback from	Practice is informed by feedback from children, young	
children, young	people and their families about the effectiveness of help,	
people and	care or support they receive from the time it is first	
families	needed until it ends.	

6. IMPACT ANAL	YSIS – PLANNING AND REVIEW –	Children who are looked after		
Criteria	O: Outstanding	G: Good	R: Requires	I: Inadequate
			Improvement	
Thresholds	Plans are consistently up to	Thresholds for interventions accord with the	Agreed plans (and the	Plans, if in place, are
	date, comprehensive and very	requirements of legislation; they are appropriate,	reviews of them) are	not effective and
	well targeted to meet the	understood by partners, consistently applied, well	not consistently SMART	SMART which causes
	child's/ young person's	embedded, reviewed and updated regularly. Drift and	which has some impact	significant drift and
	individual needs.	delay are avoided.	on their overall	delay for the child.
Public Law	Reviews are timely and plans	There is evidence of the effective use of the public law	effectiveness. There is	
Outline and	demonstrate very good	outline, including letters before proceedings, family	a lack of consistency in	
Care	engagement of children, young	group conferences and parallel planning. Care is used	the involvement of and	
proceedings	people and their families,	only if this is in the child's best interest. Children and	collaboration with the	
	ensuring that their wishes,	young people are safely and successfully returned home,	multi-agency network.	
	feelings and aspirations are	where this is not possible, permanent plans are made for	Children and families	
	clearly expressed.	them to live away from the family home (i.e. Special	are not consistently	
	The file clearly evidences an	Guardianship orders, Child Arrangement orders and	visited in line with	
	exceeding positive impact of	placement orders). Families are aware of and	required timescales as	
	the professional intervention	encouraged to access legal advice and advocacy.	well as their needs.	

	provided to the child and	Applications and assessments for care or other orders	Feedback from children
	family.	are accepted by the courts, minimise the appointment of	and families is not
		experts and avoid unnecessary delay.	consistently
		Viability assessments of members of the family are	acknowledged and
		carried out promptly to a good standard and sequential	used to inform future
		assessments are avoided.	work.
Plans		Plans are dynamic and change in the light of emerging	
		issues and risks as identified through ongoing	
		assessments; contingency planning is evident.	
		Plans and decisions are reviewed and alternative	
		authoritative action is taken where the circumstances for	
		children do not change and the risk of harm or actual	
		harm remains or intensifies.	
		Children and young people are visited in line with their	
		plan; the plan and progress of the plan is discussed	
		openly and their views are sought to inform future	
		planning.	
		Care plans for looked after children comprehensively	
		address the needs and experiences of children and	
		young people. They are regularly and independently	
		reviewed, involving - as appropriate - the parents,	
		kinship carers (connected persons), foster carers,	
		residential staff and other adults who know the children;	
		Plans for their future are appropriate as well as	
		ambitious.	
		The local authority maintains accurate and up to date	
		information about how looked after children are	

	progressing at school and takes urgent and individual
	action when they are not achieving well.
Multi-agency	Children and young people are protected through
working	effective and coordinated multi-agency arrangements;
	Incidents are monitored.
	Case conference, Child in Need Meetings, strategy
	meetings, core groups and multi-agency risk assessment
	conferences (MARAC) are attended by key participants
	and are effective forums for timely information sharing,
	planning and risk based decision making.
	Together, agencies take steps to ensure that all children,
	including those who are excluded from school, are safe
	and that for those who are missing or often missing,
	there is a clear plan of urgent action in place to protect
	them and reduce the risk of harm or further harm.
Contact with	Children and young people have appropriate, carefully
family and	assessed and supported contact with family and friends,
friends	and other people who are important to them.
Children placed	Placing authorities notify the receiving authority that a
OOB	looked after child is moving to their area and assess the
	adequacy of resources to meet the child's before the
	placement is made.
IRO	IRO's bring rigour and challenge to the care planning and
	monitor the performance of the local authority as a
	corporate parent, escalating issues as appropriate.
	IRO's enable timely plans to be agreed to meet the needs
	of children and to ensure that their best interest remain

		paramount. This includes engagement with children's
		guardians.
Rehabilitation		Where the plan for the child or young person is to return
	home, there is evidence of purposeful work, to help the	
		family to change so it is safe for the child to return.
		Further episodes of being looked after are avoided
		unless they are provided as part of a plan of support.
Feedback from		Practice is informed by feedback from children, young
children, young		people and their families about the effectiveness of help,
people and		care or support they receive from the time it is first
families		needed until it ends.